### CHILDREN AND FAMILIES

# **Early Childhood Home Visiting**

## What Legislators Need to Know

BY ALISON MAY AND JULIE POPPE

## Introduction

Over the last decade, breakthroughs in brain research have crossed over into the realm of policymaking. Driven by a stream of new findings related to early brain development, an increasing number of lawmakers from across the political spectrum are now focused on the immediate and lasting significance of early childhood experiences. How children's brains develop, particularly in their first five years, determines how they see and respond to the world.

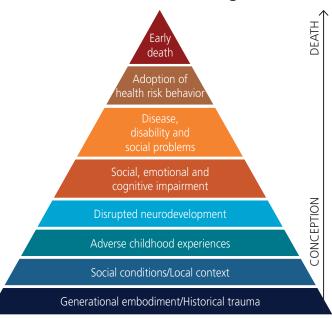
Infants and toddlers require supportive and nurturing parents and caregivers to feel secure and thrive. For this to happen, children need the presence of at least one stable and caring adult, and most often this happens naturally in the context of a birth or adoptive family. But that's not always the case. People have varying capacities to parent. Some lack the support of family or friends or are living in unstable conditions, struggling financially, fighting addiction or confronting other significant challenges. As a result, children are growing up in environments that range from safe, stable and nurturing to abusive, chaotic and neglectful.

Recognizing parents as their child's first, and often best, teachers is the foundation of home visiting. At the same time, all parents need guidance and support—especially single, first-time and low-income mothers—and many do not have access to those things through family and friends. Voluntary home visiting is a vehicle to connect parents and their young children to resources that facilitate positive parenting and healthy child development.

In response to this growing body of scientific research about what young children need and how to provide it, some state policymakers are promoting home visiting to link pregnant women with prenatal care, encourage strong parent-child attachment and foster positive child health and development. High-quality home visiting programs have been shown to improve outcomes for children and families, particularly teen or single parents, new mothers experiencing depression and families lacking social and financial supports.



### Adverse Childhood Experiences Affect Health and Well-Being





Rigorous evaluations of home visiting programs have revealed positive outcomes related to birth, children's health, school readiness, parenting skills, and a reduction in child abuse and neglect. According to the American Academy of Pediatricians, home visiting can increase school readiness, decrease child maltreatment and increase family economic stability. A 2017 report showed some evidence-based home visiting models mitigate adverse childhood experiences (ACEs) and prevent intergenerational ACEs. Research also indicates that home visiting can help lay the foundation for resilience and healthy development for families facing multiple socio-demographic stressors and lacking financial resources and social supports.

This brief is intended to help state policymakers make informed decisions about home visiting policies in their states.

# Home Visiting Overview

Home visiting is a family support strategy with a history dating back more than 100 years. Along the way, a variety of models with varying degrees of efficacy have emerged, and home visiting began to attract support from private donors and local, state and federal governments. Since the 1990s, lawmakers have increasingly promoted voluntary home visiting programs to bolster families and provide a strong start for children. In 2010, with bipartisan support, the federal government established the Maternal, Infant and Early Childhood Home Visiting Program (MIECHV), leading to a significant expansion of home visiting in states, territories and tribal communities.

Today, early childhood home visitors address individual family needs, such as developmental concerns and caregiver mental health or substance abuse, by partnering with families to establish positive parenting practices and parent-child relationships. Because these programs simultaneously engage with primary caregivers and their child, home visiting is often considered a two-generation or whole-family approach.

## Home Visiting Models

Models vary in outcome, duration and frequency of visits and intended target population. Some begin in pregnancy; others during the first year of a child's life. Some last two years, while others may last up to age 6 or the start of kindergarten. Potential outcomes include: improvements in maternal and newborn health; prevention of child injuries, abuse, neglect or maltreatment; reduction in emergency department visits; increased school readiness and achievement; lower incidence of crime or domestic violence; improvements in family economic self-sufficiency; and better coordination of and referrals for other community resources and supports.

# Is Home Visiting Effective?

Studies on the cost-effectiveness of home visiting programs have found a significant positive return on investment. For example, a study of one home visiting program, Home Instruction for Parents of Preschool Youngsters (HIPPY USA), found a return on investment of \$1.80 for every \$1 invested. A separate study found that the home visiting model Nurse-Family Partnership (NFP), designed specifically for first-time mothers, reduced Temporary Assistance for Needy Families (TANF) payments by 7% for nine years postpartum. Most of the savings is due to reduced child protective services costs, fewer children requiring special education or grade retention and lower criminal justice expenses.

The U.S. Department of Health and Human Services currently recognizes 18 evidence-based home visiting models as eligible for federal funding. All models must have at least three years of operating history, provide implementation support to provider organizations and trainings for home visitors, and specify minimum requirements for frequency of visits.

While, at their core, all early childhood home visiting models are designed to improve outcomes for children, they focus on different outcomes (e.g., school readiness or family violence prevention) and employ different strategies and protocols for achieving those outcomes. Similarly, models with evidence of effectiveness in achieving one outcome may not have evidence showing effectiveness achieving other outcomes. The following table shows the domains in which home visiting models have verified evidence of effectiveness as determined by the Department of Health and Human Services Home Visiting Evidence of Effectiveness review.

## **Evidence of Model Effectiveness**

Model Name	Positive Parenting	Health	Development and School Readiness	Child Maltreatment	Economic Self- Sufficiency	Family Violence and/or Crime	Linkages and Referrals
Attachment and Behavioral Catch-Up (ABC)	•	•	•				
Child First		•	•	•			•
Early Head Start (EHS)	•	•	•	•	•		•
Early Intervention Program for Adolescent Mothers		•			•		
Early Start (New Zealand)	•	•	•	•			
Family Check-Up for Children	•	•	•				
Family Connects	•	•					•
Family Spirit	•	•	•				
Health Access Nurturing Development Services (HANDS)		•		•	•		
Healthy Beginnings	•	•	•				
Healthy Families America (HFA)	•	•	•	•	•	•	•
Home Instruction for Parents of Preschool Youngsters (HIPPY)	•		•				
Maternal Early Childhood Sustained Home Visiting Program (MECSH)	•	•					
Minding the Baby		•					
Nurse-Family Partnership (NFP)	•	•	•	•	•	•	
Parents as Teachers (PAT)	•		•	•	•		
Play and Learning Strategies (PALS)	•		•				
SafeCare	•	•	•	•			•

## Targeted and Universal Approaches

Home visiting approaches vary by model and intended client (e.g., serve first-time parents only, focus on healthy births, or target families at risk of child abuse or neglect). However, all involve trained staff conducting a visit with a family. Depending on the model, the home visitor could be a nurse, social worker, professional or paraprofessional. Regardless of model, home visitors are trained to make referrals, share parenting strategies and encourage healthy activities (e.g., smoking cessation and breast feeding). Particularly with models that are targeted, a strong relationship between visitor and client is essential.

Some programs, including Parents as Teachers or Nurse-Family Partnership, are targeted to families meeting certain criteria, such as income or number of previous pregnancies. Others are universally available within defined geographic areas. Family Connects is a community-wide universal nurse home visiting program for parents of newborns. It is based on the Family Connects Durham model piloted in Durham County, North Carolina. Family Connects operates in five states.

### Home Visiting: A Timeline

1899

Mary Richmond publishes her manual for home visiting, "Friendly Visiting Among the Poor: A Handbook for Charity Workers." 1935

Congress passes Title V, the Maternal and Child Health Program. 1960s

The War on Poverty emphasizes support for early child care and development.

1994

Head Start expands home visiting to children from birth to age 3 (Early Head Start). 1974

Congress passes the Child Abuse Prevention and Treatment Act.

1970s

C. Henry Kempe proposes home health visiting to prevent child abuse and neglect.

2009

HHS launches HomeVEE to review the evidence base for home visiting models.

2010

Congress invests \$1.5 billion in home visiting through MIECHV.

2018

Congress reauthorizes MIECHV funding for an additional five years.

Source: National Home Visiting Resource Center

# **Home Visiting Legislation**

Following the passage of MIECHV in 2010, at least 23 states have passed home visiting legislation of their own. State legislatures are allocating funds and advancing legislation to help coordinate state-administered home visiting programs and strengthen the quality and accountability of those programs.

Some legislators are passing legislation to set program standards and expected outcomes. Others are allocating funds and providing legislative oversight of the state agencies. In addition, some are leading the way to develop comprehensive and connected early childhood systems that include high-quality child care, prekindergarten, early intervention services, home visiting, and other child and family services—all of which require a qualified and supported workforce.

In 2019, the **Nevada** Legislature enacted AB 430, requiring the Legislative Committee on Child Welfare and Juvenile Justice to conduct a study concerning maternal, infant and early childhood home visitation services. In 2019, Oregon enacted SB 526 to direct the Oregon Health Authority to design, implement and maintain a voluntary statewide program to provide universal newborn nurse home visiting services to all families within the state to support healthy child development and strengthen families.

**New Hampshire** passed SB 592 in 2018, which authorized the use of TANF funds to expand home visiting and child care services through family resource centers. A year later, **New Hampshire** passed SB 274 to allow all Medicaid-eligible children and pregnant women access to home visiting programs for children and their families.

**Utah** passed the Nurse Home Visiting Pay-for-Success Program (SB 161) in 2018 to create an evidence-based nurse home visiting pay-for-success program. The enacted legislation allows a contractual relationship between the Department of Health and one or more private investors. Success payments are provided to investors if the program meets the performance goals outlined in the pay-for-success contract.

In 2016, **Rhode Island** lawmakers passed the Rhode Island Home Visiting Act (HB 7034), which requires Rhode Island's Department of Health to coordinate the system of early childhood home visiting services; implement a statewide home visiting system that uses evidence-based models proven to improve child and family outcomes; and implement a system to identify and refer families before the child is born or as early after the birth of a child as possible.

### By the Numbers

- In 2017, more than 300,000 families
  received evidence-based home visiting
  services over the course of more than
  3.5 million home visits. That same year
  the federal Maternal, Infant and Early
  Childhood Home Visiting (MIECHV) grant
  program helped fund services for more
  than 81,000 families in states, territories
  and tribal communities.
- About 18 million pregnant women and families (including more than 23 million children) could benefit from home visiting but were not reached in 2017.
- In 2017, nine emerging models provided 406,182 home visits, serving 28,706 families and 28,798 children.

- In fiscal year 2018, MIECHV funding helped serve 150,000 parents and children.
- In fiscal year 2018, MIECHV funding supported more than 930,000 home visits.
- An additional 28,700 families received home visiting services through nine emerging models that do not yet meet the standards of evidence required by the Home Visiting Evidence of Effectiveness project. These nine models provided more than 400,000 home visits in 2017.

In 2013, **Texas** lawmakers passed the Voluntary Home Visiting Program (SB 426) for pregnant women and families with children younger than 6. Also in 2013, the **Texas** Legislature increased funding for at-risk prevention programs for child abuse and neglect prevention leading to the Healthy Outcomes Through Prevention and Early Support (HOPES) program, which began providing services the following year. The bill also established the definitions of and funding for evidence-based and promising practice programs (75% and 25%, respectively). Texas has a history of investing in home visiting dating back to 2007 with SB 156, which required the Health and Human Services Commission to establish a nurse-family partnership competitive grant program to serve approximately 2,000 families in multiple communities throughout the state.

In 2013, **Arkansas** lawmakers passed SB 491, requiring the state to implement statewide, voluntary home visiting services to promote prenatal care and healthy births. The bill required the state to use at least 90% of funding for evidence-based and promising practice models and to develop protocols for sharing and reporting program data and a uniform contract for providers.

Program standards provide a common understanding of how home visiting services should be delivered to achieve positive, measurable outcomes for infants and toddlers and their families.

**Oklahoma's** Family Support and Accountability Act of 2015 requires performance outcomes to be measured and reported annually. **New Mexico's** Home Visiting Accountability Act of 2013 established standards and reporting requirements for the home visiting programs receiving state funds. The act also requires that its Children Youth and Family Department create and disseminate an annual outcomes report.

Additional examples of legislative action are available through NCSL's Early Care and Education Bill-Tracking Database, which contains introduced and enacted home visiting legislation for the 50 states, Washington, D.C., and the territories.

### **Hard-to-Reach Communities**

According to the U.S. Census Bureau, 20% of the population lives in rural areas. Policymakers have considered increasing access to health care services through telehealth to reach patients in rural communities. Virtual tools are one way to expand the reach of home visiting services to hard-to-reach communities. Parents as Teachers at USC Telehealth, for example, is providing home visiting services from a distance. There are limitations to this approach, however, as home visitors often model nurturing interactions with babies and children and physically demonstrate safe sleep practices.

# Home Visiting Implementation, Workforce and Delivery

## **Implementation**

According to model developers, promised returns on investments and program success are contingent on delivering home visiting services as intended, or with fidelity to the model. Even then, results are neither clear-cut nor guaranteed.

According to a 2018 MDRC report, "A substantial literature has provided evidence of home visiting impacts on family functioning, parenting, and child outcomes. However, many gaps in knowledge about home visiting programs exist, including information about program implementation. Evaluations of home visiting have rarely collected detailed information on the services provided to families, so it is difficult to know whether impacts on particular outcomes are associated with implementation or with features of the home visiting model."

Similarly, a 2014 report by Mathematica shows programs struggle to maintain the necessary program intensity and participant retention. The authors of the study recommend additional research "... to understand how these high-quality interventions can best be replicated, adapted to diverse populations, and incorporated into existing service delivery systems."

In 2015, the Association of State and Tribal Home Visiting Initiatives produced a white paper, "Research for Results: The Power of Home Visiting," which explores evolving practices and improving outcomes for children and their families. The paper notes there is "always tension between implementing an evidence-based model with fidelity to the documented model and adjusting to meet family needs."

It is possible to implement programs as intended and not receive desired outcomes. One reason may be that home visiting is relational and sometimes individuals may not pair well with one another. Just as two bakers following the same recipe in two different locations may not come out with the same cake, due to altitude or humidity or other variations, the same may be true of home visiting programs. Because home visiting programs are about relationships, one home visitor or one program may be more effective with a family than another. In addition to this human element, other implementation challenges stem from family engagement, staffing, cultural and linguistic diversity and conditions, such as maternal depression, that are experienced by many of the participating families.

### Workforce

According to the National Home Visiting Resource Center's 2018 yearbook, more than 19,000 home visitors provided visits in 2017, with more than 3,100 supervisors supporting the home visiting workforce. Supervisors encourage professional growth in their staff, may assist in caseload management and, in some cases, may also provide service directly to families. Understanding home visitors, their training and their professional development opportunities could help in thinking about legislative activity. NCSL monitors legislative activity related to the early childhood workforce, of which home visitors are a part, and continues to see an increase in legislative activity and involvement in the workforce.

Compared to teachers in the early childhood workforce, home visitors have no federal standards or nationally recognized credentialing that governs professional development. Some states, including Alabama, Oregon, Pennsylvania and West Virginia, have adopted core competencies outlining expectations for home visitors. Washington, D.C., has online modules and email lists for home visitors to learn from one another and share tactics for use in the field. Iowa is looking at performance bonuses and other incentive programs to keep staff in the field.

# Sources of Funding

States rely on a mix of state and federal funds to support home visiting programs, and legislators face difficult decisions and competing priorities when determining how best to allocate limited funds. As reported in NCSL's annual survey of early care and education budget actions, states also use general funds, to-bacco settlement funds, tobacco taxes and private-sector funds to pay for home visiting. NCSL's Early Care and Education State Budget Actions report for fiscal year 2019 shows that, of the 33 states that responded, three states reported a decrease in federal MIECHV funding and nine increased state general fund dollars from the previous year.

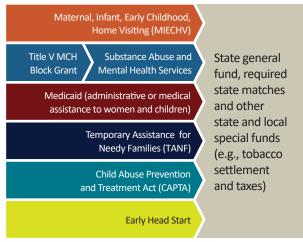
MIECHV is the primary source of federal funding for home visiting. It began in 2010 under the Affordable Care Act and was reauthorized in 2015 under the Medicare Access and the Children's Health Insurance Program (CHIP) Reauthorization Act. In February 2018, it was reauthorized for another five years.

Since 2010, Congress has invested billions of dollars through MIECHV to help states, territories and tribes expand and implement evidence-based home visiting. Current funding provides \$400 million per year through federal fiscal year 2022. Funds can be used for evidence-based programs, innovation, statewide needs assessments, training and technical assistance and evaluation. Some states, including Texas, use MIECHV funds to support community collaborations working on the systemic issues that influence family health and well-being.

The MIECHV program requires that 75% of federal funding be spent on evidence-based home visiting models, meaning programs have been verified as having a strong research basis. To date, 18 models have met this standard. The remaining 25% of funds can be used to implement and rigorously evaluate models considered to be promising or innovative approaches. These evaluations will add to the research base for effective home visiting programs.

In addition, the MIECVH program includes an accountability component requiring states to achieve certain benchmarks and outcomes. States must show improvement in the following areas: maternal and newborn health, childhood injury or maltreatment and reduced emergency room

# Funding Streams to Support Home Visiting



Source: Johnson Group

visits, school readiness and achievement, crime or domestic violence, and coordination with community resources and support. Programs are evaluated at the state and federal levels to ensure they are operating effectively and achieving intended outcomes.

Other sources of federal funds are available to support home visiting, including Title V of the Maternal and Child Health Block Grant Program, TANF, Project LAUNCH, Medicaid, Healthy Start, Early Head Start, the Child Abuse Prevention and Treatment Act and the Community-Based Child Abuse Prevention Program. Many states combine these resources. For example, Louisiana combines MIECHV funding, state general funds, federal maternal and child health dollars, Medicaid dollars, and TANF funding to support implementation of the Nurse-Family Partnership model. As of 2018, at least 20 states are using Medicaid financing for home visiting.

# Items for Policymakers to Consider

### PLAN AND COORDINATE WITH STATE AGENCIES.

- Work in partnership with relevant state agencies when considering new or amended legislation.
- Ask about the supply and competencies of home visitors in your state and consider what actions may be necessary to build a supported and qualified workforce.
- Ask agency leadership or a legislative fiscal analyst to summarize state appropriations and the number of families served by home visiting programs in your state.
- Ask how your state is using data to inform decision making and continuous quality improvement.
- Dig into state-level data available through agency leaders and the Home Visiting Yearbook.
- Exercise caution when writing specific home visiting models into legislation.
- Prioritize desired outcomes and ask agency leaders to identify program model(s) that most closely align with the outcomes you have in mind.
- Home visiting is one component of your state's early childhood system. Explore how it fits with other pieces, such as child care, early intervention and prekindergarten.
- Work with relevant agency staff to develop accountability measures and indicators of progress.

#### BUILD KNOWLEDGE AND CONNECTIONS.

- At least eight states have legislative children's caucuses. If you live in one of those states, consider getting involved. If your state does not have a legislative children's caucus, consider forming one.
- Experience at least one home visit firsthand. If possible, observe different models to better understand the nuances within the field of home visiting.
- Find examples of legislative action through NCSL's Early Care and Education Bill-Tracking Database, which contains introduced and enacted home visiting legislation for the states, Washington, D.C., and territories.
- Forty-five states and the District of Columbia have an active Early Childhood Advisory Council. Attend
  a meeting or ask to meet with members of the council.

### BE PATIENT.

- Set a realistic time frame for program implementation and data collection, keeping in mind that data collection and analysis could take years to produce meaningful insights.
- Stay the course: Short-term funding commitments will not have a measurable impact on child and family outcomes.

## Conclusion

The news of a pregnancy and the birth of a child can be blissful—and overwhelming. Early childhood home visitors are trained to help families during this time of transition. Through voluntary home visiting programs, parents and caregivers receive support, connect to community services and gain the skills they—and their children—need to thrive.

Many home visiting models are backed by evidence of effectiveness in meeting one or more objectives, such as increasing school readiness, preventing child maltreatment and promoting positive parenting and healthy child development. At the same time, local circumstances, such as availability of qualified home visitors, language and cultural differences and population density, can affect program outcomes. While not a silver bullet, home visiting is increasingly recognized by state, federal and local leaders across the political spectrum as an appropriate and effective option for improving child and family outcomes.

## **Additional Resources**

NCSL, Home Visiting: Improving Outcomes for Children

Association of State and Tribal Home Visiting Initiatives

Health Resources & Services Administration

Home Visiting Models and Target Participants

National Home Visiting Resource Center

RAND Corporation, Early Childhood Interventions: Proven Results, Future Promise

U.S. Department of Health and Human Services Home Visiting Evidence of Effectiveness

Zero to Three

The National Conference of State Legislatures is the bipartisan organization dedicated to serving the lawmakers and staffs of the nation's 50 states, its commonwealths and territories.

NCSL provides research, technical assistance and opportunities for policymakers to exchange ideas on the most pressing state issues, and is an effective and respected advocate for the interests of the states in the American federal system. Its objectives are:

- Improve the quality and effectiveness of state legislatures.
- Promote policy innovation and communication among state legislatures.
- Ensure state legislatures a strong, cohesive voice in the federal system.

The conference operates from offices in Denver, Colorado and Washington, D.C.

### NCSL Contact:

Alison May
Policy Associate, Children & Families Program
303-856-1473
Alison.May@ncsl.org



### **Tim Storey, Executive Director**

7700 East First Place, Denver, Colorado 80230, 303-364-7700 | 444 North Capitol Street, N.W., Suite 515, Washington, D.C. 20001, 202-624-5400